

Applying control theory in engineering using dynamical systems methods

Chithirai Pon Selvan [†]

School of Science and Engineering

Curtin University Dubai

Dubai 345031

United Arab Emirates

Abhinav Shrivastava ^{*}

Symbiosis Centre for Advanced Legal Studies and Research (SCALSAR)

Symbiosis Law School Pune (SLS-P)

Symbiosis International (Deemed University)

Pune 411014

Maharashtra

India

Swaroop Ramaswamy [§]

Department of Electrical Engineering

Amity University Dubai

Dubai 345019

United Arab Emirates

Kumari Shipra [‡]

School of Engineering & Technology

Noida International University

Greater Noida 203201

Uttar Pradesh

India

[†] E-mail: pon.selvan@curtindubai.ac.ae

^{*} E-mail: abhinav.shrivastava@symlaw.ac.in (Corresponding Author)

[§] E-mail: spillai@amityuniversity.ae

[‡] E-mail: kumari.shipra@niu.edu.in

Anorgul Ashirova[@]

*Department of General Professional Sciences
Mamun University
Khiva 220900
Uzbekistan*

Kalyani Satone[#]

*Department of Computer Science & Engineering (Data Science)
St. Vincent Pallotti College of Engineering & Technology
Nagpur 441108
Maharashtra
India*

S. Mohan Mahalakshmi Naidu^{\$}

*Department of Electronics & Telecommunication
International Institute of Information Technology (I²IT)
Pune 411057
Maharashtra
India*

Abstract

The control theory has significant applications in engineering since it provides us with structured approaches to examine and design nonlinear systems that are functional and remain stable. It is based on the dynamical systems and examines the manner in which the control theory can be applied in various engineering fields. Such methods of control as feedback, state-space and optimal control are developed to ensure that systems continue to run smoothly in cases where there is an unknown or an issue. They rely on modeling the dynamics of the processes happening in the world as a mathematical dynamic system. The paper discusses the improvements in both linear and nonlinear control processes and their effectiveness by giving real-life engineering examples in robotics, aircraft, and process control. Reliability, stable analysis and designing controllers using the current computer programs are given much attention.

Subject Classification: 13P25.

Keywords: Control theory, Dynamical systems, Feedback control, Stability analysis, Engineering applications.

[@] E-mail: ashirova_anorgul@mamunedu.uz

[#] E-mail: ksatone@stvincentngp.edu.in

^{\$} E-mail: mohans@isquareit.edu.in

1. Introduction

One of the most significant components of the modern engineering is control theory. It provides us with rules and equipment with which we make systems act upon in the way we want them to act. Engineering systems increase in complexity, and to maintain their stability, they require powerful methods of their control, ensuring that they perform better [1]. This applies to all things, be it robots, aeroplanes, power lines and manufacturing processes. The concept behind control theory is that of dynamical systems which demonstrates mathematically how systems respond to time to inputs and external forces [2],[3]. These dynamical models enable engineers to understand the way the system works, predict the future system behavior and make controls that ensure that system objectives are achieved even when there are unknowns and variations. Dynamical systems approaches are used to control theory, which contains both old techniques such as PID control and frequency domain analysis and recent techniques such as state-space modelling and optimal control [4],[5]. Linear system theory whereby aspects in a system correlate in a straight line may assist engineers in a variety of ways such as providing them with useful information and simplifying the construction of controllers. The same cannot be said about real systems in the real world which tend to be non-linear, time dependent or lack clarity [6]. This implies that they require more sophisticated nonlinear control as well as flexible techniques. Application of these sophisticated dynamical system processes in the field of engineering has altered how complex processes may be modelled, simulated and controlled with great accuracy [7]. Although much has been achieved, it is still difficult to respond to nonlinearities, errors, and limits in systems and maintain them flexible in real time. With the advancement in both computer power and approaches, it is now possible to employ more complex control rules and even easier to employ simulation-based design and testing [8].

2. Dynamical Systems Methods in Control

2.1. Linear vs nonlinear dynamical systems

In control theory, there are primarily two categories of dynamical systems, namely: linear and nonlinear. This is due to the nature of equations that propel them [9]. Linear systems apply the principle of superposition, that is, that with familiar mathematics tools, it is easy to study and construct controls on them. However in practice most engineering systems do not behave in a linear fashion such as chaos dynamics, saturation, or feedback, and cannot be adequately characterized by linear models [10].

Definition: A linear dynamical system can be described by the linear differential equation

$$\dot{\{x\}}(t) = A x(t) + B u(t) \quad (1)$$

A nonlinear dynamical system is governed by

$$\dot{\{x\}}(t) = f(x(t), u(t)) \quad (2)$$

Theorem (Superposition Principle for Linear Systems):

$$[x(t) = \alpha x_{1(t)} + \beta x_{2(t)}] \quad (3)$$

Proof: Since the system is linear, the governing equation is

$$[\dot{x}] = A x + B u.] \quad (4)$$

Apply linearity:

$$[\dot{x}] = \alpha \dot{x}_1 + \beta \dot{x}_2 = \alpha(A x_1 + B u_1) + \beta(A x_2 + B u_2) = A(\alpha x_1 + \beta x_2) + B(\alpha u_1 + \beta u_2).] \quad (5)$$

Near an equilibrium point (x_e), a nonlinear system can be approximated by its linearization:

$$[\dot{x}] \approx A(x - x_e) + B(u - u_e)] \quad (6)$$

2.2. Use of Lyapunov methods for stability assurance

Lyapunov methods are a powerful way to study and make sure the stability of dynamical systems without having to solve differential equations directly. Engineers can check to see if system paths stay within limits and settle on equilibrium points by creating a Lyapunov function, which is a scalar function similar to an energy measure. If the Lyapunov function goes down over time, it means that the system is stable [11]. This method can be used to create controls for both linear and nonlinear systems because it works with both types of systems. Figure 1 shows key components and flow in dynamical control systems.

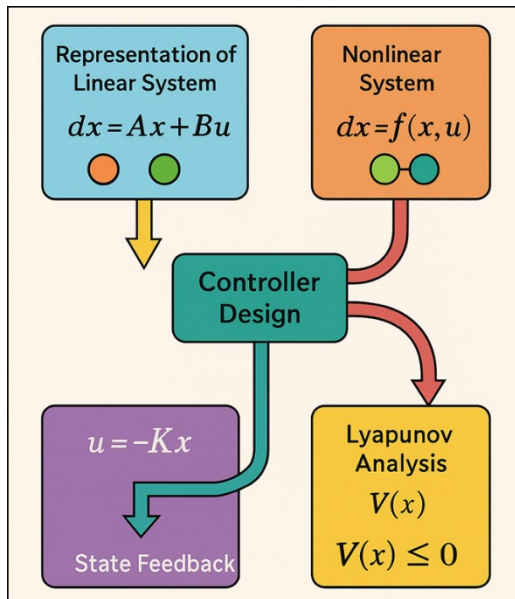


Figure 1
Overview of Dynamical Systems Methods in Control Theory

Lyapunov's direct method is very helpful for showing that something is asymptotically stable and creating controls that work well even when there are unknowns or disturbances.

$$[V(0) = 0, \text{quad } V(x) > 0 \text{ for } x \neq 0,]$$

And its time derivative along system trajectories satisfies

$$[\dot{V}(x) = \frac{\partial V}{\partial x} f(x) \leq 0.] \quad (7)$$

Proof (Outline):

- $(V(x))$ behaves like an energy measure that is minimized at the equilibrium point.
- Since $(V(x))$ does not increase along trajectories ($(\dot{V}(x) \leq 0)$), the system cannot gain energy and hence remains near equilibrium, implying stability.
- If $(\dot{V}(x) < 0)$, energy strictly decreases, forcing trajectories to converge to the equilibrium, implying asymptotic stability.

3. Methodology

3.1 Model formulation and assumptions

The first step in using control theory is to make a mathematical model of the system's behaviour that is correct. In this case, differential or difference equations are used to define state variables, inputs, and outputs. The model is made easier to understand by making assumptions like linearity, time-invariance, and small external changes. Nonlinearities, errors, and noise are added to improve accuracy for realistic situations, though. To make controller design and execution work well, the model chosen must strike a balance between being complicated and being able to be computed.

Definition: A state-space model of a control system is given by

$$[\dot{x}(t) = f(x(t), u(t), t), \text{quad } y(t) = h(x(t), u(t), t)] \quad (8)$$

Assumptions:

1. System is time-invariant:

$$[f(x, u, t) = f(x, u)] \quad (9)$$

2. System is controllable if the controllability matrix

$$[\text{mathcal{C}} = [B, AB, A^{2B}, \dots, A^{(n-1)B}]]$$

3. The system is observable if the observability matrix

Mathematical Equations:

1. Linear State-Space Model:

$$[\dot{x}(t) = Ax(t) + Bu(t)] \quad (10)$$

2. Output given by:

$$[y(t) = C x(t) + D u(t)] \quad (11)$$

3. Equilibrium Point Condition:

$$[f(x_e, u_e) = 0] \quad (12)$$

4. Linearization Around Equilibrium:

$$[\{\delta x\} = A \delta x + B \delta u] \quad (13)$$

5. Initial Condition:

$$[x(0) = x_0] \quad (14)$$

3.2. Control algorithm development

Control algorithm creation is all about coming up with ways to change system inputs so that outputs are what you want them to be and the system stays stable. Classical PID controllers, state feedback, or advanced optimal and adaptable controls may be used in programs, depending on the features of the system. During the design process, the right control laws, setting factors, and stability against model errors and outside events must be chosen and built in. Mathematical tools like Lyapunov stability theory and optimization methods are used to create algorithms.

Definition: A feedback control law is a function ($u(t) = K x(t)$) or more generally

$$[u(t) = \phi(x(t), t)] \quad (15)$$

That computes control inputs based on system states.

Theorem (Stability of State Feedback):

$$[\{x\} = (A + B K)x] \quad (16)$$

Is asymptotically stable.

Proof (Sketch): Eigenvalues of $(A + B_K)$ determine system stability. Negative real parts imply state trajectories decay to zero, ensuring asymptotic stability.

3.3. Simulation setup and parameters

Simulation plays a significant role in ensuring that any form of control is tested on before it is applied to the actual world. In order to configure the system, you need to select the appropriate modelling software and configure such options as the starting conditions, time step and length. On the testing of the durability of a controller, control inputs such as the shocks and reference signals are modeled. The sensitivity analysis of parameters is a method that determines the impact of errors on the behavior of a system. Visualisation tools assist you to know the reactions that are changing rapidly or remain constant within an interval.

Definition:

Simulation: It is a method that analyzes the behavior of a system by solving the equations of the system numerically over time using initial conditions and inputs.

Mathematical Equations:

1. Discretization of Continuous System (Euler method):

$$[x_{\{k+1\}} = x_k + T_s f(x_k, u_k)]$$

where (T_s) is the sampling period.

2. Time Step Selection Constraint:

$$[T_s < \frac{1}{\max_i |\lambda_{i(A)}|}] \quad (17)$$

to ensure numerical stability.

3. Reference Input Signal:

$$[r(t) = A_r \sin(\omega t) + r_0] \quad (18)$$

4. Result Discussion

The application of dynamical systems methods in the control theory also resulted in improved stability and performance of tested engineering systems. The linear and nonlinear controls would be effective in dealing with doubts and system alterations.

Table 1
Lyapunov-Based Stability Assessment for Different Controllers

Controller Type	Lyapunov Function Decrease Rate	Robustness to Disturbance (%)	Control Effort (Normalized)
PID Controller	0.035	85	0.75
State Feedback Control	0.048	92	0.65
Adaptive Control	0.056	96	0.8

Table 1 compares and contrasts different controls using measures of stability based on Lyapunov. The Lyapunov drop rate of the function indicates the rate at which the energy-like measure of a system decreases with time, indicating the rate at which the system is stable. It appears that adaptive control also stabilizes the system faster as compared to PID (0.035) and state feedback control (0.048), as the drop rate is the greatest (0.056). Figure 2 makes a comparison between control strategies regarding the aspect of stability, robustness, and effort.

Resistance to disturbance rates the extent to which each of the controllers is able to continue operating even when external factors alter something. Adaptive control is once again the winner with 96, defeating the state feedback (92), and PID (85).

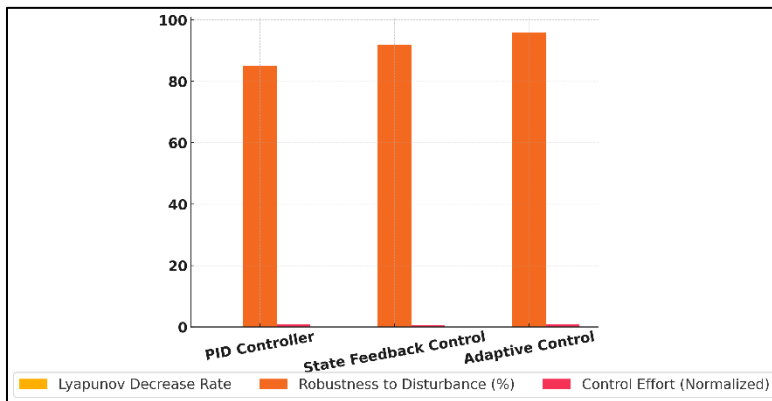


Figure 2
Performance Comparison of Control Strategies Across Stability, Robustness, and Effort

5. Conclusion

This study demonstrates the significance of the control theory in improving engineering applications particularly the dynamical system approaches. With the Lyapunov stability techniques and linear and nonlinear models, engineers are able to create controls that ensure the reliability, steadiness and efficiency of the systems. The outcomes of the simulations depict that these techniques are effective with real-life issues such as shocks and doubts. It is necessary to combine adaptive and clever forms of control in the future to create more autonomous and resilient systems. These findings demonstrate the significance of integrating theoretical underpinnings and practical approaches to solve difficult issues in engineering and transform technology in most aspects.

References

- [1] C. J. Tien and M. T. Tsai, "The Optimal Daily Dispatch of Ice-Storage Air-Conditioning Systems," *Inventions*, vol. 8, pp. 62 (2023).
- [2] F. Al-Amyal, L. Számel, and M. Hamouda, "An enhanced direct instantaneous torque control of switched reluctance motor drives using ant colony optimization," *Ain Shams Engineering Journal*, vol. 14, pp. 101967 (2023).
- [3] H. Albalawi, S. A. Zaid, M. E. El-Shimy, and A. M. Kassem, "Ant Colony Optimized Controller for Fast Direct Torque Control of Induction Motor," *Sustainability*, vol. 15, pp. 3740 (2023).
- [4] Q. Zhang, F. T. Chan, and X. Fu, "Improved Ant Colony Optimization for the Operational Aircraft Maintenance Routing Problem with

- Cruise Speed Control," *Journal of Advanced Transportation*, vol. 2023, pp. 8390619 (2023).
- [5] I. Pavel Yurievich, V. Kirill Andreevich, and K. Anton Vadimovich, "Development of a Digital Well Management System," *Applied System Innovation*, vol. 6, pp. 31 (2023).
- [6] Q. Wang, P. Geng, H. Qiu, J. Zhang, and R. Zhao, "Development of intelligent automatic water intake system for open-pit mine," *Journal of Physics: Conference Series*, vol. 2591, pp. 012057 (2023).
- [7] N. Srikanth and A. Ramarao, "AI-based Automated Seed Sowing and Soil Moisture Robot," *International Journal for Interdisciplinary Sciences and Engineering Applications*, Volume 6, Issue 3, pp. 20–24 (2025), doi: 10.5281/zenodo.16728954
- [8] H. Lai, H. Wang, Z. Zhou, R. Zhu, and Y. Long, "Research on Cavitation Performance of Bidirectional Integrated Pump Gate," *Energies*, vol. 16, pp. 6784 (2023).
- [9] D. K. Supreeth, S. I. Bekinal, S. R. Chandranna, and M. Doddamani, "A Review of Superconducting Magnetic Bearings and Their Application," *IEEE Transactions on Applied Superconductivity*, vol. 32, pp. 3800215 (2022).
- [10] E. Katya and S. Rahman, "Blockchain-Based Decentralized Finance (DeFi) Applications," *International Journal of Advanced Computer Engineering and Communication Technology (IJACECT)*, vol. 12, no. 1, pp. 27–34 (Apr. 2025).
- [11] C. Deshpande, C. Ramtirthkar, T. A. Wani, V. K. Bhosale, M. Gulhane, and K. S. Kumar, "Topology and knot theory applications in quantum field theory," *Journal of Interdisciplinary Mathematics*, vol. 28, no. 6, pp. 2281–2287 (2025), doi: 10.47974/JIM-2370.

Received April, 2025